## 3.4 Operation in the Reverse Breakdown Region — Zener Diodes (pp. 167-171)

A Zener Diode >

The 3 <u>technical</u> differences between a junction diode and a Zener diode:

1.

2.

3.

The <u>practical</u> difference between a Zener diode and "normal" junction diodes:

-

.. 1.

2.

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3.

**HO: Zener Diode Notation** 

A. Zener Diode Models

Q: How do we analyze zener diodes circuits?

A: Same as junction diode circuits—

Big problem ->

Big solution ->

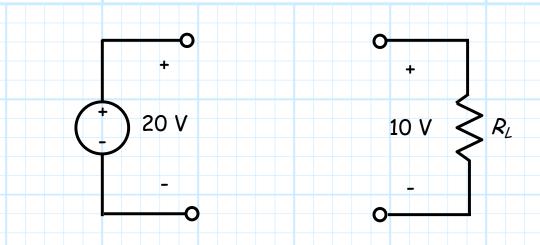
HO: Zener Diode Models

Example: Zener Circuit Analysis

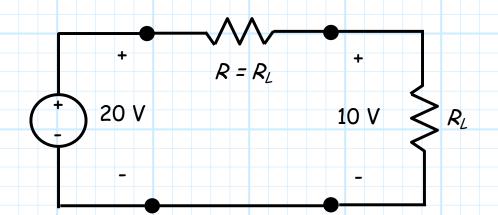
B. Voltage Regulation

Say that we have a 20 V supply but need to place 10 V across some load:

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The solution seems easy! >



This, in fact is a very bad solution—

HO: The Shunt Regulator

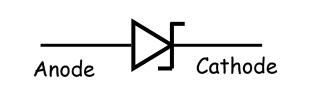
**HO: Line Regulation** 

**HO: Load Regulation** 

**Example: The Shunt Regulator** 

### Zener Diode Notation

To distinguish a zener diode from conventional junction diodes, we use a modified diode symbol:



Generally speaking, a zener diode will be operating in either breakdown or reverse bias mode.

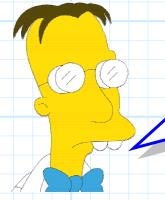
For both these **two** operating regions, the cathode **voltage** will be greater than the anode voltage, i.e.,:

$$v_D < 0$$
 (for r.b. and bd)

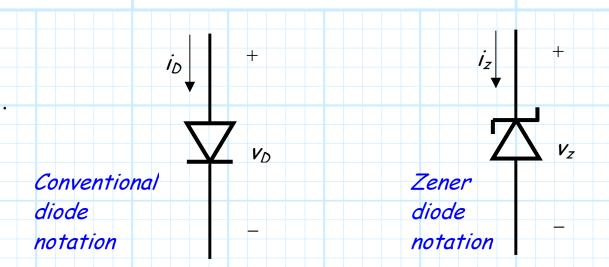
Likewise, the diode current (although often tiny) will flow from cathode to anode for these two modes:

$$i_D < 0$$
 (for r.b. and bd)

Q: Yikes! Won't the the numerical values of both  $i_D$  and  $v_D$  be negative for a zener diode (assuming only rb and b.d. modes).



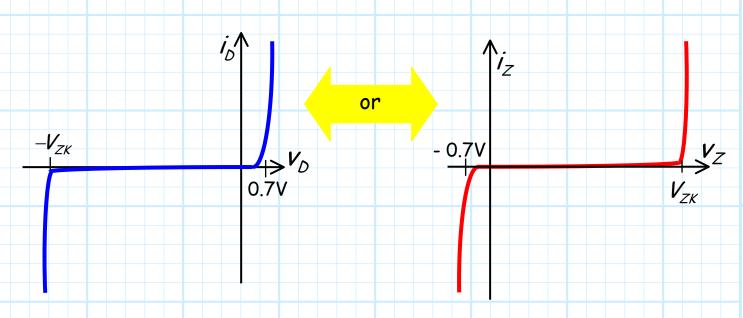
A: With the standard diode notation, this is true. Thus, to avoid negative values in our circuit computations, we are going to change the definitions of diode current and voltage!



- \* In other words, for a Zener diode, we denote current flowing from cathode to anode as positive.
- \* Likewise, we denote diode voltage as the potential at the cathode with respect to the potential at the anode.

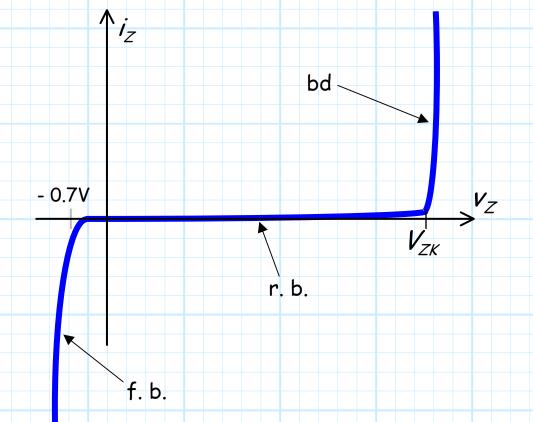
Note that each of the above two statements are precisely **opposite** to the "conventional" junction diode notation that we have used thus far:

$$v_Z = -v_D$$
 and  $i_Z = -i_D$ 



Two ways of expressing the same junction diode curve.

#### The $i_Z$ versus $V_Z$ curve for a zener diode is therefore:



Thus, in forward bias (as unlikely as this is):

$$i_{Z} = -I_{s} exp\left(\frac{-v_{Z}}{nV_{T}}\right)$$

or approximately:

$$v_Z \approx -0.7 \text{ V}$$
 and  $i_Z < 0$ 

Likewise, in reverse bias:

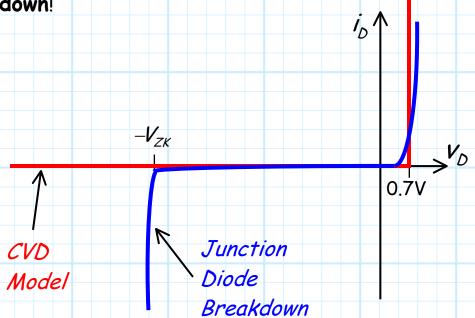
$$i_Z \approx I_s$$
 and  $0 < v_Z < V_{ZK}$ 

And finally, for breakdown:

$$i_Z > 0$$
 and  $v_Z \approx V_{ZK}$ 

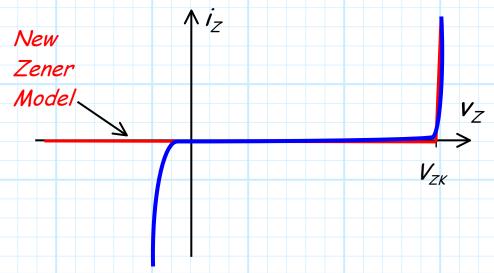
## Zener Diode Models

The conventional diode models we studied earlier were based on junction diode behavior in the **forward** and **reverse** bias regions—they did **not** "match" the junction diode behavior in **breakdown!** 



However, we assume that **Zener** diodes most often operate in **breakdown**—we need **new** diode models!

Specifically, we need models that match junction/Zener diode behavior in the reverse bias and breakdown regions.



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We will study two important zener diode models, each with familiar names!

- 1. The Constant Voltage Drop (CVD) Zener Model
- 2. The Piece-Wise Linear (PWL) Zener Model

#### The Zener CVD Model

Let's see, we know that a Zener Diode in **reverse** bias can be described as:

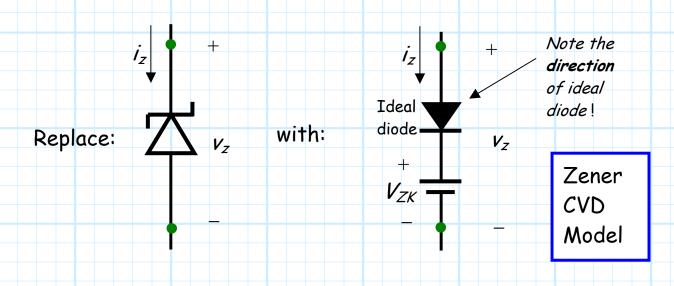
$$i_Z \approx I_s \approx 0$$
 and  $v_Z < V_{ZK}$ 

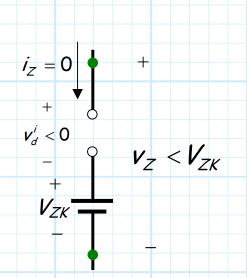
Whereas a Zener in breakdown is approximately stated as:

$$i_z > 0$$
 and  $v_z \approx V_{zk}$ 

Q: Can we construct a model which behaves in a similar manner??

A: Yes! The Zener CVD model behaves precisely in this way!





Analyzing this Zener CVD model, we find that **if** the model voltage  $v_Z$  is less than  $V_{ZK}$  (i.e.,  $v_Z < V_{ZK}$ ), then the **ideal** diode will be in **reverse** bias, and thus the model current  $i_Z$  will equal **zero**. In other words:

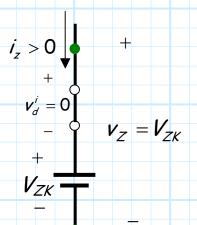
$$i_Z = 0$$
 and  $v_Z < V_{ZK}$ 

Just like a Zener diode in reverse bias!

Likewise, we find that **if** the model current is positive  $(i_Z>0)$ , then the **ideal** diode must be **forward** biased, and thus the model voltage must be  $v_Z=V_{ZK}$ . In other words:

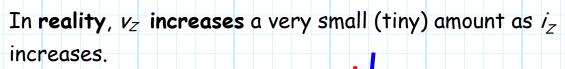
$$i_Z > 0$$
 and  $v_Z = V_{ZK}$ 

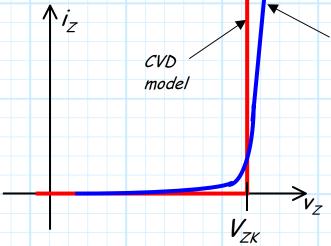
Just like a Zener diode in breakdown!



**Problem:** The voltage across a zener diode in breakdown is NOT EXACTLY equal to  $V_{ZK}$  for all  $i_z > 0$ . The CVD is an approximation.

Real zener diode characteristic



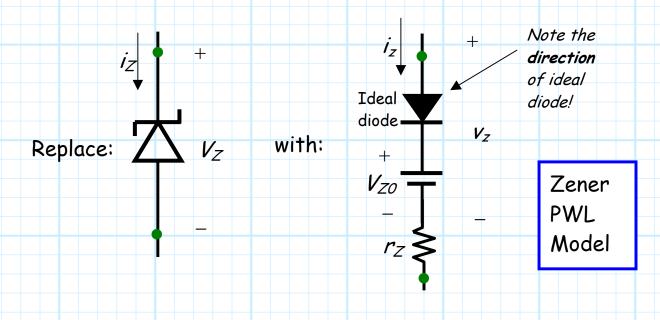


Thus, the CVD model causes a **small** error, usually acceptable—but for some cases **not**!

For these cases, we require a better model:

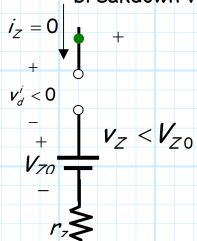
→ The Zener (PWL) Piece-Wise Linear model.

#### The Zener Piecewise Linear Model



#### Please Note:

- \* The PWL model includes a very small series resistor, such that the voltage across the model  $v_z$  increases slightly with increasing  $i_z$ .
- \* This small resistance  $r_Z$  is called the **dynamic** resistance.
- \* The voltage source  $V_{ZO}$  is **not** equal to the zener breakdown voltage  $V_{ZK}$ , however, it is typically **very close!**



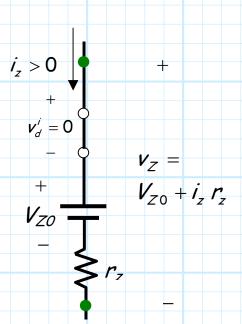
Analyzing this Zener PWL model, we find that **if** the model voltage  $v_Z$  is less than  $V_{ZO}$  (i.e.,  $v_Z < V_{ZO}$ ), then the **ideal** diode will be in **reverse** bias, and the model current  $i_Z$  will equal zero. In other words:

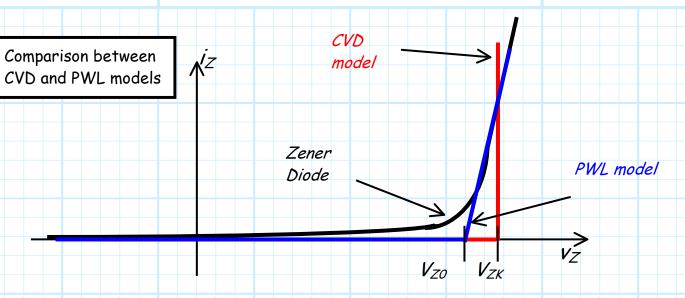
$$i_Z = 0$$
 and  $v_Z < V_{Z0} \approx V_{ZK}$ 

Just like a Zener diode in reverse bias!

Likewise, we find that if the model current is positive ( $i_Z > 0$ ), then the ideal diode must be forward biased, and thus:  $i_Z > 0$  and  $v_Z = V_{Z0} + i_Z r_Z$ Note that the model voltage  $v_Z$  will be near  $V_{ZK}$ , but will increase slightly as the model current increases.

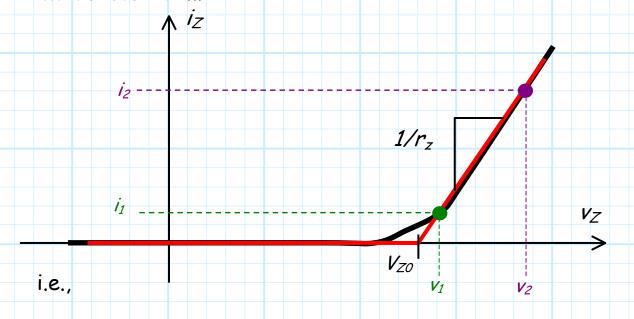
Just like a **Zener** diode in **breakdown!** 





Q: How do we construct this PWL model (i.e., find  $V_{70}$  and  $r_{7}$ )?

A: Pick two points on the zener diode curve  $(v_1, i_1)$  and  $(v_2, i_2)$ , and then select  $r_z$  and  $V_{ZO}$  so that the PWL model line intersects them.



$$r_z = \frac{V_2 - V_1}{i_2 - i_1}$$

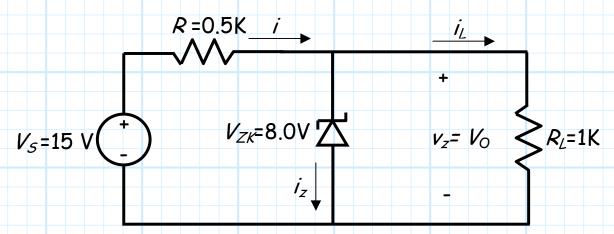
and

$$V_{z0} = V_1 - I_1 r_z$$

$$V_{z0} = V_1 - i_1 r_z$$
 or  $V_{z0} = V_2 - i_2 r_z$ 

# Example: Zener Diode Circuit Analysis

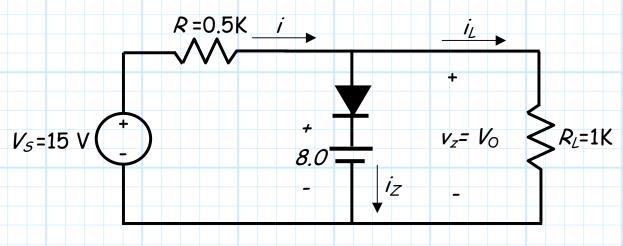
Consider the circuit below:



Note that the load resistor  $R_L$  is in **parallel** with the Zener diode, so that the voltage  $V_O$  across this load resistor is **equal** to the Zener diode voltage  $v_Z$ .

Q: So just what is the value of voltage  $V_0$ ?

A: Let's replace the Zener diode with a Zener CVD model and find out!



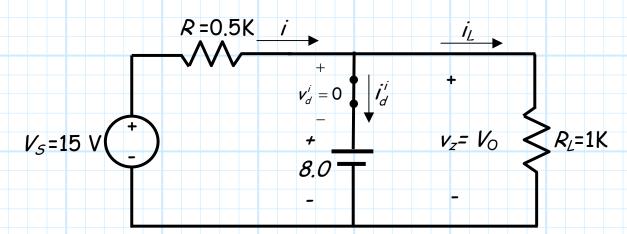
Q: Yikes! We have an IDEAL diode circuit!

A: Yes! We analyze it **precisely** like we did in section 3.1—remember, there are **no** Zener diodes in the circuit above!

ASSUME: IDEAL diode is forward biased.

ENFORCE:  $v_d^i = 0$ 

ANALYZE:



From KVL:

$$v_Z = V_O = v_D^i + 8.0 = 0 + 8.0 = 8.0 \text{ V}$$

From KCL:

$$i = i_D^i + i_L$$

where from Ohm's Law:

$$i = \frac{15 - 8.0}{0.5} = 14 \text{ mA}$$

and:

$$i_L = \frac{8.0}{1} = 8.0 \text{ mA}$$

Therefore:

$$i_D^i = i - i_L$$
$$= 14 - 8$$
$$= 6 \text{ mA}$$

CHECK:

$$i_D^i = 6mA > 0$$

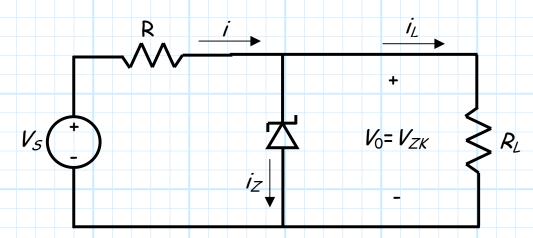
Look at what this means!

The voltage across load resistor  $R_L$  is equal to the Zener breakdown voltage  $V_{ZK}$ —regardless of the value of load resistor  $R_L$  or source voltage  $V_S$  (provided, of course, that the Zener diode is in breakdown)!

This is an example of a primary application of Zener diodes—voltage regulation.

We call this particular regulator circuit the shunt regulator.

## The Shunt Regulator



The shunt regulator is a *voltage regulator*. That is, a device that keeps the voltage across some load resistor  $(R_L)$  constant.

Q: Why would this voltage not be a constant?

A: Two reasons:

- (1) the source voltage  $V_s$  may vary and change with time.
- (2) The load  $R_L$  may also vary and change with time. In other words, the current  $i_L$  delivered to the load may change.

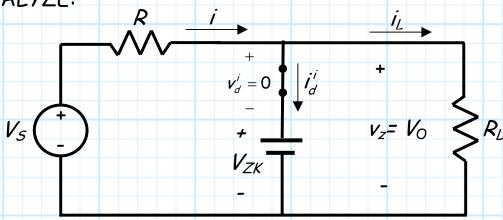
What can we do to keep load voltage Vo constant?

⇒ Employ a Zener diode in a shunt regulator circuit!

Let's analyze the shunt regulator circuit in terms of Zener breakdown voltage  $V_{ZK}$ , source voltage  $V_S$ , and load resistor  $R_L$ .

**Replacing** the Zener diode with a **Zener CVD model**, we ASSUME the ideal diode is **forward** biased, and thus ENFORCE  $v_D^i = 0$ .

ANALYZE:



From KVL:

$$V_Z = V_O = V_D^i + V_{ZK} = V_{ZK}$$

From KCL:

$$i = i_{\mathcal{D}}^{i} + i_{\mathcal{L}}$$

where:

$$i = \frac{V_S - V_{ZK}}{R}$$

and:

$$i_L = \frac{V_{ZK}}{R_L}$$

Therefore:

$$i_{D}^{i} = i - i_{L}$$

$$= \frac{V_{S} - V_{ZK}}{R} - \frac{V_{ZK}}{R_{L}}$$

$$= \frac{V_{S}}{R} - \frac{V_{ZK}(R + R_{L})}{RR_{L}}$$

CHECK:

Note we find that ideal diode is forward biased if:

$$i_D^{i} = \frac{V_S}{R} - \frac{V_{ZK}(R + R_L)}{RR_L} > 0$$

or therefore:

$$\frac{V_{S}}{R} - \frac{V_{ZK}(R + R_{L})}{RR_{L}} > 0$$

$$\frac{V_{S}}{R} > \frac{V_{ZK}(R + R_{L})}{RR_{L}}$$

$$V_{S} \frac{R_{L}}{R + R_{L}} > V_{ZK}$$

Hence, the Zener diode may **not** be in breakdown (i.e., the ideal diode may not be f.b.) if  $V_S$  or  $R_L$  are too small, or shunt resistor R is too large!

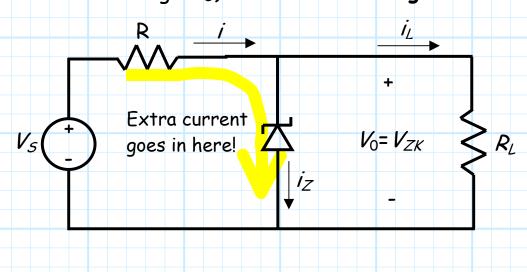
Summarizing, we find that if:

$$V_S \frac{R_L}{R + R_L} > V_{ZK}$$

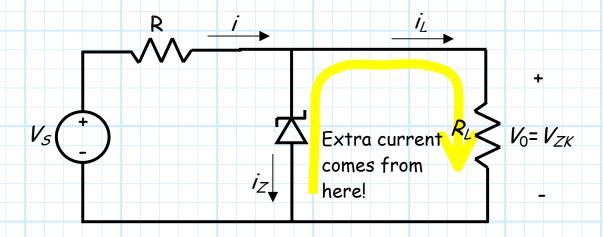
#### then:

- 1. The Zener diode is in breakdown.
- 2. The load voltage  $V_O = V_{ZK}$ .
- 3. The load current is  $i_L = V_{ZK}/R_L$ .
- 4. The current through the shunt resistor R is  $i = (V_S V_{ZK})/R$ .
- 5. The current through the Zener diode is  $i_z = i i_L > 0$ .

We find then, that if the source voltage  $V_S$  increases, the current i through shunt resistor R will likewise increase. However, this extra current will result in an equal increase in the **Zener diode current**  $i_Z$ —thus the load current (and therefore load voltage  $V_O$ ) will remain unchanged!



Similarly, if the load current  $i_L$  increases (i.e.,  $R_L$  decreases), then the Zener current  $i_Z$  will decrease by an equal amount. As a result, the current through shunt resistor R (and therefore the load voltage  $V_O$ ) will remain unchanged!



Q: You mean that  $V_O$  stays **perfectly constant**, regardless of source voltage  $V_S$  or load current  $i_L$ ??

A: Well,  $V_O$  remains approximately constant, but it will change a tiny amount when  $V_S$  or  $i_L$  changes.

To determine precisely how **much** the load voltage  $V_O$  changes, we will need to use a more **precise** Zener diode model (i.e., the Zener **PWL**)!

## Line Regulation

Since the Zener diode in a shunt regulator has some small (but non-zero) dynamic resistance  $r_Z$ , we find that the load voltage  $V_O$  will have a **small** dependence on source voltage  $V_S$ .

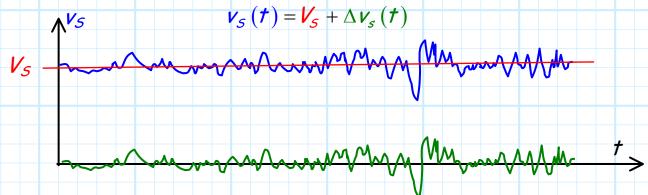
In other words, if the source voltage  $V_S$  increases (decreases), the load voltage  $V_O$  will likewise increase (decrease) by some very small amount.

 $\mathbf{Q}$ : Why would the source voltage  $V_5$  ever change?

A: There are many reasons why  $V_5$  will not be a perfect constant with time. Among them are:

- 1. Thermal noise
- 2. Temperature drift
- 3. Coupled 60 Hz signals (or digital clock signals)

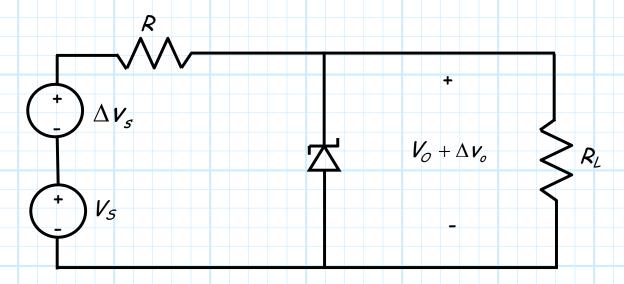
As a result, it is more appropriate to represent the **total** source voltage as a time-varying signal  $(v_s(t))$ , consisting of both a **DC** component  $(V_s)$  and a **small-signal** component  $(\Delta v_s(t))$ :



As a result of the small-signal source voltage, the total **load** voltage is likewise time-varying, with both a DC ( $V_O$ ) and small-signal ( $\Delta V_o$ ) component:

$$\mathbf{v}_{\mathcal{O}}(t) = \mathbf{V}_{\mathcal{O}} + \Delta \mathbf{v}_{\mathcal{O}}(t)$$

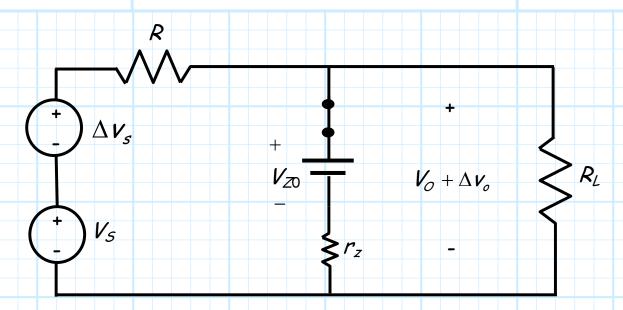
So, we know that the DC source  $V_5$  produces the DC load voltage  $V_O$ , whereas the small-signal source voltage  $\Delta v_s$  results in the small-signal load voltage  $\Delta v_o$ .



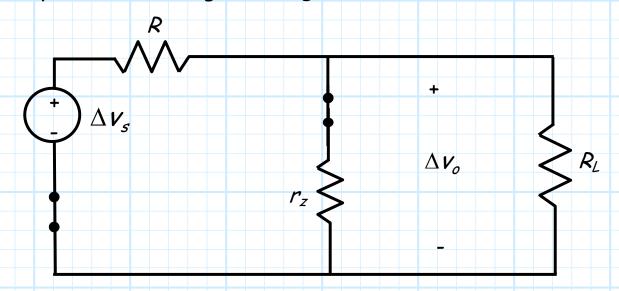
Q: Just how are  $\Delta v_s$  and  $\Delta v_o$  related? I mean, if  $\Delta v_s$  equals, say, 500 mV, what will value of  $\Delta v_o$  be?

A: Determining this answer is easy! We simply need to perform a small-signal analysis.

In other words, we first replace the Zener diode with its **Zener PWL model**.



We then turn off all the DC sources (including  $V_{ZO}$ ) and analyze the remaining small-signal circuit!



From voltage division, we find: 
$$\Delta v_o = \Delta v_s \left( \frac{r_z \| R_L}{R + r_z \| R_L} \right)$$

However, recall that the value of a Zener dynamic resistance  $r_Z$  is very small. Thus, we can assume that  $r_Z >> R_L$ , and therefore  $r_z \| R_L \approx r_z$ , leading to:

$$\Delta v_o = \Delta v_s \left( \frac{r_z \| R_L}{R + r_z \| R_L} \right)$$

$$\approx \Delta v_s \left( \frac{r_z}{r_z + R} \right)$$

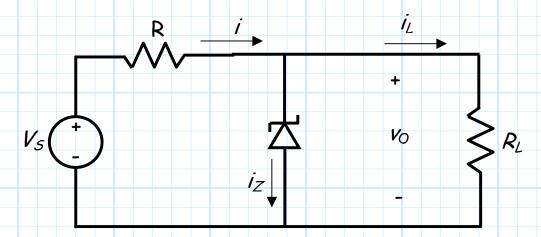
Rearranging, we find:

$$\frac{\Delta v_o}{\Delta v_s} = \frac{r_Z}{r_Z + R} \doteq line regulation$$

This equation describes an important performance parameter for shunt regulators. We call this parameter the line regulation.

- \* Line regulation allows us to determine the **amount** that the load voltage changes  $(\Delta v_o)$  when the source voltage changes  $(\Delta v_s)$ .
- \* For example, if line regulation is 0.002, we find that the load voltage will increase 1 mV when the source voltage increases 500mV (i.e.,  $\Delta v_o = 0.002 \Delta v_s = 0.002(0.5) = 0.001 \text{V}$ ).
- \* Ideally, line regulation is zero. Since dynamic resistance  $r_Z$  is typically very small (i.e.,  $r_Z \ll R$ ), we find that the line regulation of most shunt regulators is likewise small (this is a good thing!).

## Load Regulation



For voltage regulators, we typically define a load  $R_L$  in terms of its current  $i_L$ , where:

$$i_L = \frac{V_O}{R_L}$$

Note that since the load (i.e., regulator) voltage  $v_O$  is a constant (approximately), specifying  $i_L$  is **equivalent** to specifying  $R_L$ , and vice versa!

Now, since the Zener diode in a shunt regulator has some small (but non-zero) dynamic resistance  $r_Z$ , we find that the load voltage  $v_O$  will also have a **very small** dependence on load resistance  $R_L$  (or equivalently, **load current**  $i_L$ ).

In fact, if the load current  $i_L$  increases (decreases), the load voltage  $v_O$  will actually decrease (increase) by some small amount.

Q: Why would the load current i ever change?

A: You must realize that the load resistor  $R_L$  simply **models** a more **useful** device. The "load" may in fact be an amplifier, or a component of a cell phone, or a circuit board in a digital computer.

These are all **dynamic** devices, such that they may require **more** current at some times than at others (e.g., the computational load increases, or the cell phone begins to transmit).

As a result, it is more appropriate to represent the **total** load current as a time-varying signal  $(i_L(t))$ , consisting of both a **DC** component  $(I_L)$  and a **small-signal** component  $(\Delta i_L(t))$ :

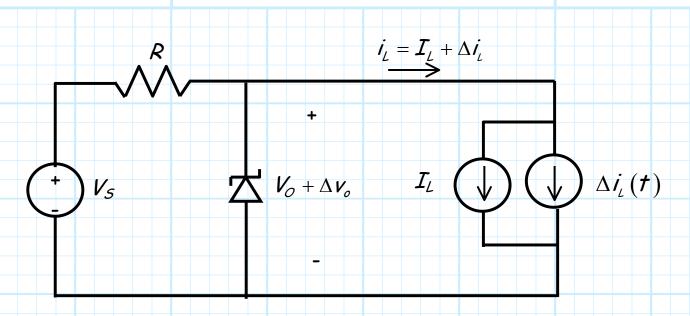
$$i_{L}(t) = I_{L} + \Delta i_{L}(t)$$

This small-signal load current of course leads to a load voltage that is **likewise** time-varying, with both a DC ( $V_O$ ) and small-signal ( $\Delta V_o$ ) component:

$$V_{\mathcal{O}}(t) = V_{\mathcal{O}} + \Delta V_{\mathcal{O}}(t)$$

So, we know that the DC load current  $I_L$  produces the DC load voltage  $V_O$ , whereas the small-signal load current  $\Delta i_L(t)$  results in the small-signal load voltage  $\Delta v_o$ .

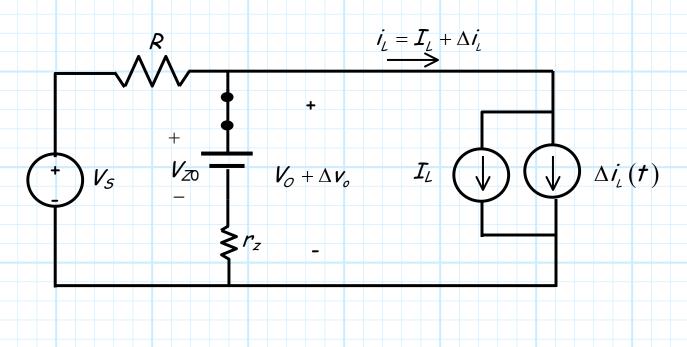
We can **replace** the load resistor with **current sources** to represent this load current:



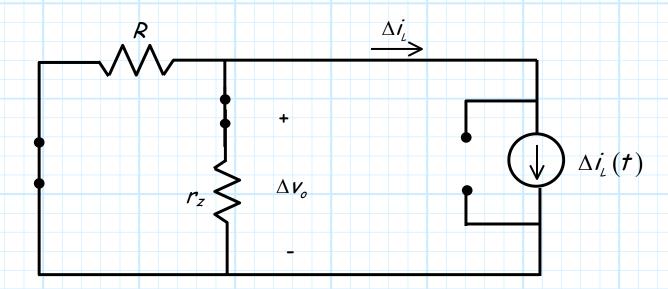
Q: Just how are  $\Delta v_s$  and  $\Delta v_o$  related? I mean, if  $\Delta i_L$  equals, say, 50 mA, what will value of  $\Delta v_o$  be?

A: Determining this answer is easy! We simply need to perform a small-signal analysis.

In other words, we first replace the Zener diode with its **Zener PWL model**.



We then turn off all the DC sources (including  $V_{ZO}$ ) and analyze the remaining small-signal circuit!



From Ohm's Law, it is evident that:

$$\Delta \mathbf{v}_o = -\Delta \mathbf{i}_L \left( \mathbf{r}_Z \| \mathbf{R} \right)$$
$$= -\Delta \mathbf{i}_L \left( \frac{\mathbf{r}_Z \mathbf{R}}{\mathbf{r}_Z + \mathbf{R}} \right)$$

Rearranging, we find:

load regulation 
$$\doteq \frac{\Delta V_o}{\Delta i_L} = -\frac{r_Z R}{r_Z + R} = -r_Z \| R \approx -r_Z \| Chms$$

This equation describes an important performance parameter for shunt regulators. We call this parameter the load regulation.

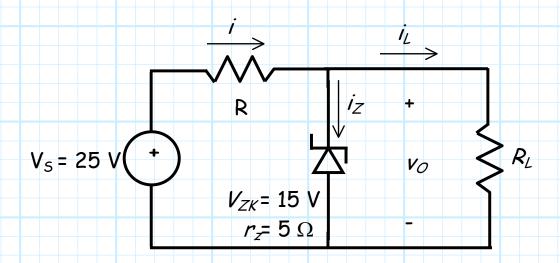
- \* Note load regulation is expressed in units of resistance (e.g.,  $\Omega$ ).
- \* Note also that load regulation is a **negative** value. This means that **increasing**  $i_L$  leads to a **decreasing**  $v_O$  (and vice versa).
- \* Load regulation allows us to determine the **amount** that the load voltage changes  $(\Delta v_o)$  when the load current changes  $(\Delta i_i)$ .
- \* For example, if load regulation is -0.0005 K $\Omega$ , we find that the load voltage will **decrease** 25 mV when the load current increases 50mA

(i.e., 
$$\Delta v_o = -0.0005 \, \Delta i_L = -0.0005 \, (50) = -0.025 \, V$$
).

\* Ideally, load regulation is zero. Since dynamic resistance  $r_Z$  is typically very small (i.e.,  $r_Z \ll R$ ), we find that the load regulation of most shunt regulators is likewise small (this is a good thing!).

## Example: The Shunt Regulator

Consider the **shunt regulator**, built using a zener diode with  $V_{ZK}$ =15.0 V and incremental resistance  $r_z$ = 5 $\Omega$ :



- 1. Determine R if the largest possible value of  $i_L$  is 20 mA.
- 2. Using the value of R found in part 1 determine  $i_z$  if  $R_L$ =1.5 K.
- 3. Determine the change in  $v_0$  if  $V_5$  increases one volt.
- 4. Determine the change in  $v_0$  if  $i_L$  increases 1 mA.

#### Part 1:

From KCL we know that  $i = i_Z + i_L$ .

We also know that for the diode to remain in breakdown, the zener current must be **positive**.

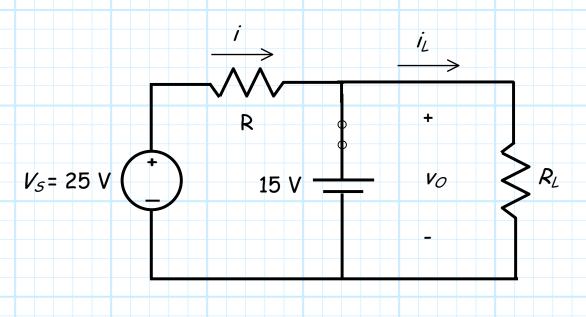
i.e., 
$$i_z = i - i_L > 0$$

Therefore, if  $i_L$  can be as large as 20 mA, then i must be greater than 20 mA for  $i_Z$  to remain greater than zero.

i.e. i > 20mA

Q: But, what is i ??

A: Use the zener CVD model to analyze the circuit.



Therefore from Ohm's Law:

$$i = \frac{V_S - V_{ZK}}{R} = \frac{25 - 15}{R} = \frac{10}{R}$$

and thus i> 20mA if:

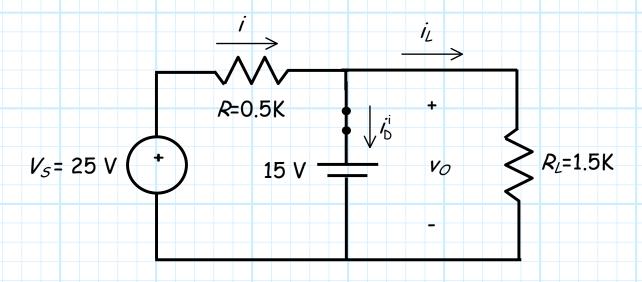
$$R < \frac{10}{20} = 0.5 \text{ K} = 500 \Omega$$

Note we want R to be as large as possible, as large R improves both line and load regulation.

Therefore, set  $R = 500 \Omega = 0.5 \text{ K}$ 

#### Part 2:

Again, use the zener CVD model, and enforce  $v_D^i = 0$ :



Analyzing, from KCL:

$$i_D^i = i - i_L$$

and from Ohm's Law:

$$i = \frac{V_s - V_{ZK}}{R} = \frac{25.0 - 15.0}{0.5} = 20.0 \text{ mA}$$

$$i_{L} = \frac{V_{ZK}}{R_{L}} = \frac{15.0}{1.5} = 10.0 \text{ mA}$$

Therefore  $i_D^i = i - i_L = 20 - 10 = 10.0 \, \text{mA} \, \left( : i_D^i = 10 > 0 \, \checkmark \right)$ 

And thus we estimate  $i_Z = i_D^i = 10.0 \text{ mA}$ 

#### Part 3:

The shunt regulator line regulation is:

Line Regulation = 
$$\frac{r_z}{R + r_z} = \frac{5}{500+5} = 0.01$$

Therefore if  $\Delta v_s = 1 \text{ V}$ , then  $\Delta v_o = (0.01) \Delta v_s = 0.01 \text{ V}$ 

#### Part 4:

The shunt regulator load regulation is:

Load Regulation = 
$$\frac{-R r_z}{R + r_z} = \frac{-(500)5}{500+5} = -4.95 \Omega$$

Therefore if  $\Delta i_{L} = 1 \text{ mA}$ , then  $\Delta v_{o} = -(4.95)\Delta i_{L} = -4.95 \text{ mV}$